

Supplier Risk Questionnaire

ISO 31000:2018 | ISO 9001:2015 §8.4 | CIPS Risk Management | v2.5

Supplier Name:		Date:	
Country:		Contact:	
Annual Spend (USD):		Criticality to Operations:	<input type="checkbox"/> Low <input type="checkbox"/> Med <input type="checkbox"/> High

Rating Scale

1 - Very Low	2 - Low	3 - Medium	4 - High	5 - Very High
Negligible risk	Limited risk exposure	Material risk, requires controls	Significant risk, treatment required	Critical risk, immediate action

1. Financial Risk (ISO 31000, COSO ERM)

#	Question	Rating (1-5)	Evidence / Notes
1	Has the supplier been profitable for the last 3 years?		
2	Has the supplier had any bankruptcy, insolvency, or major restructuring events?		
3	Are audited financial statements available (last 2 years)?		
4	Is the credit rating investment grade or better?		
5	Is the supplier's customer concentration risk acceptable (no single customer >40% revenue)?		

2. Operational Risk

#	Question	Rating (1-5)	Evidence / Notes
1	Does the supplier have documented BCP / disaster recovery plans tested within 12 months?		
2	Are there any single points of failure in the supplier's process or sub-supply chain?		
3	Does the supplier have multiple manufacturing sites or geographic diversification?		
4	Is there evidence of capacity constraints or order backlogs?		
5	Does the supplier have a documented quality management system (ISO 9001 / IATF 16949)?		

3. Compliance & Regulatory Risk

#	Question	Rating (1-5)	Evidence / Notes
1	Is the supplier free from sanctions / watchlist exposure (OFAC, EU, UN, national lists)?		
2	Is the supplier compliant with applicable regulations (REACH, RoHS, conflict minerals)?		
3	Has the supplier been subject to enforcement actions, fines, or regulatory notices in last 3 years?		
4	Does the supplier have documented anti-bribery and anti-corruption policies?		
5	Is the supplier compliant with data protection regulations (GDPR, CCPA) if applicable?		

4. Geopolitical & Country Risk

#	Question	Rating (1-5)	Evidence / Notes
1	Is the supplier located in a country with low political stability or high corruption risk?		
2	Is the supplier subject to export controls or trade restrictions?		
3	Is the supplier exposed to natural disaster risk (earthquake, flood, etc.)?		
4	Is the supplier's logistics chain dependent on a single route / port?		
5	Are there any active conflicts, civil unrest, or labor disputes in the supplier's region?		

5. ESG & Sustainability Risk

#	Question	Rating (1-5)	Evidence / Notes
1	Does the supplier have an environmental management system (ISO 14001)?		
2	Has the supplier set science-based emissions reduction targets?		
3	Is the supplier compliant with modern slavery / human trafficking regulations?		
4	Does the supplier have a published sustainability / CSR report?		
5	Is the supplier exposed to ESG-related reputational risk?		

6. Cyber & Information Security Risk

#	Question	Rating (1-5)	Evidence / Notes
1	Does the supplier have an ISMS (ISO 27001) or equivalent?		
2	Has the supplier had a data breach or cyber incident in the last 3 years?		
3	Does the supplier perform regular penetration testing?		
4	Does the supplier have a documented incident response plan?		
5	Are employees trained on cybersecurity awareness?		

7. Strategic & Reputational Risk

#	Question	Rating (1-5)	Evidence / Notes
1	Is the supplier strategically aligned with our category plan and growth direction?		
2	Has the supplier been subject to adverse media coverage or reputational events?		
3	Does the supplier invest in R&D; and innovation?		
4	Is the supplier's leadership team stable?		
5	Are there any pending litigation or material legal disputes?		

Risk Summary & Mitigation Plan

Aggregate score by category, weighted average, and overall risk classification.

Category	Weight	Avg Score	Weighted	Treatment
1. Financial	20%			
2. Operational	25%			
3. Compliance	15%			
4. Geopolitical	10%			
5. ESG / Sustainability	10%			
6. Cyber	10%			
7. Strategic	10%			
Total Weighted Score	100%			
Overall Risk Class				<input type="checkbox"/> Low <input type="checkbox"/> Medium <input type="checkbox"/> High <input type="checkbox"/> Critical

Risk Treatment Plan

#	Risk	Treatment Action	Owner	Due Date	Status
1					
2					
3					
4					
5					
6					
7					
8					

Signatures

Role	Name	Signature	Date
Risk Assessor			
Procurement Manager			
Supplier Representative			